

# 1 TOB-STOP-COP: TOBacco STOP in COPd-Trial – Study Protocol

2 A randomised open-label, superiority, multicentre, two-arm intervention study of the  
3 effect of "high-intensity" vs. 'low-intensity' smoking cessation intervention in active  
4 smokers with chronic obstructive pulmonary disease.

## 5 Participants

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33 **Scientific Project sponsor**

34 Chronic Obstructive Pulmonary Disease Trial Network: COP: TRIN - a network of independent COPD  
35 researchers in Denmark: [www.coptrin.dk](http://www.coptrin.dk). Contact person: Specialist senior consultant, Research associate  
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61 SPIRIT checklist is filled in “Additional file 1”.

## 62 Abstract

63 **Background:** Cigarette smoking is the leading cause of chronic obstructive pulmonary disease (COPD) and it  
64 contributes to the development of many other serious diseases. Smoking cessation in COPD patients is  
65 known to improve survival and reduce the number of hospitalization-requiring acute exacerbations of  
66 COPD. However, smoking cessation interventions in these patients have only been successful for  
67 approximately 15-20% for consistent smoking abstinence in 12 months. Thus, more effective interventions  
68 are needed for this patient group. The aim of this study is to determine whether a high-intensity  
69 intervention compared to a low-intensity intervention can increase the proportion of persistent (>12  
70 months) anamnestic and biochemical smoking cessation in active smokers with COPD.

71 **Methods:** This study is a randomized controlled trial. A total of 600 active smokers with COPD will be  
72 randomly assigned 1:1 to either a standard treatment (guideline-based municipal smoking cessation  
73 programme, "low intensity" group), or an intervention group ("high-intensity" group), which consists of  
74 group sessions, telephone consultations, behaviour design, hotline, "buddy-matching" (smoker matched  
75 with COPD patient who has ceased smoking). Both groups will receive pharmacological smoking cessation.  
76 The primary endpoint is anamnestic and biochemical (cotinine analysis in urine) validated smoking  
77 cessation after 12 months.

78 **Discussion:** The potential benefit of this project is to prevent smoking-related exacerbations of COPD and  
79 thereby reduce logistics and costs of hospitalization and treatment of COPD. In addition, the project can  
80 potentially benefit from increasing the quality of life and longevity of COPD patients and reducing the risk  
81 of other smoking-related diseases.

82 **Trial Registration:** ClinicalTrials.gov: NCT04088942, registered 13<sup>th</sup> September 2019,  
83 (<https://clinicaltrials.gov/ct2/show/NCT04088942>)

84 **Key Words:** COPD, Randomized Controlled Trial, Smoking Cessation, exacerbations, varenicline.

## 85 Background

86 COPD is a life-threatening and incurable lung disease characterized by persistent breathing  
87 problems and poor airflow in the lungs that usually worsens over time. Globally, it is estimated  
88 that approx. 250 million people have COPD and that approx. three million deaths annually,  
89 corresponding to 5% of all deaths, are caused by COPD [4]. In Denmark, it has been estimated that  
90 approximately 320000 people suffer from COPD, leading to around 5500 deaths due to COPD each  
91 year, making the disease the third most frequent cause of death in Denmark [5]. Worldwide,  
92 cigarette smoking is by far the most important cause of COPD by causing persistent lung  
93 inflammation. Cigarette smokers have a higher incidence of respiratory symptoms and abnormal  
94 lung functions, a greater annual decline in FEV<sub>1</sub> (forced expiratory volume in 1.second) and a  
95 greater mortality rate than non-smokers [6]. Other types of tobacco e.g. pipes, cigar, hookahs are  
96 also risk factors for the development of COPD [6, 7]. Passive exposure to cigarette smoke (passive  
97 smoking) can also contribute to respiratory symptoms and COPD by increasing the overall burden  
98 on the lungs of inhaled particles and gases [6].

99 In addition, active cigarette smoking and smoking of other tobacco products is the main reason for  
100 the development of lung cancer, both with regard to individual and population risk [8]. Individual  
101 risk increases with greater number of cigarettes smoked per day and several years of smoking, and  
102 population risk increases with the incidence of current smokers [8]. Acute exacerbation of COPD is  
103 a worsening of the patient's respiratory symptoms, such as shortness of breath, cough, etc. that  
104 lasts for several days and requires medical treatment beyond the patient's usual medicine.  
105 Exacerbations of COPD are associated with increased risk of mortality due to decreasing lung  
106 function and activity level and often lead to hospitalization [9, 10]. This is one of the biggest costs

107 for the healthcare system for treatment of COPD [11]. In addition, there is evidence that  
108 exacerbations of COPD increase the risk of myocardial infarction [12] and strokes [13].

109 In this way, smoking cessation will be the most effective intervention to stop the development of  
110 COPD, as well as increase survival and reduce morbidity [14]. Overall, tobacco smoking thus  
111 increases mortality and serious morbidity as well as symptoms in COPD patients, and smoking  
112 cessation should be the top priority in treating COPD.

### 113 **Current evidence of smoking cessation:**

114 Smoking cessation is only successful in a proportion of patients with COPD. In an intervention  
115 study from 2011 (N = 499), the 12 months of results showed that 18.6% of COPD patients treated  
116 with varenicline had stopped smoking, while only 5.6% of COPD patients in the placebo group  
117 continued to have had quit smoking after 12 months (carbon monoxide-confirmed continuous  
118 abstinence rate, CAR) [15]. Despite a significant difference in absolute risk of 13% between the  
119 varenicline and placebo group, it is still >80% of COPD patients in the varenicline group who  
120 continued to smoke after 12 months, which is not satisfactory. Offers of varenicline is the standard  
121 municipal smoking cessation intervention and thus our choice of comparator.

122 Another intervention study from 2006 (N = 370) showed that 17% of COPD patients treated with  
123 sublingual nicotine tablets (Nicotine Replacement Therapy, NRT) had discontinued smoking after  
124 12 months, whereas 10% of COPD patients in the placebo group discontinued in the same time  
125 period [16]. Both groups also received behavioural support from a nurse, some received "low  
126 support", i.e. four visits and six phone calls from the nurse, while those assigned "high-support"  
127 got seven visits and four phone calls [16]. There was no difference in the results between "high-  
128 support" and "low-support" [16]. However, behavioural support has had an impact on getting

129 more people to stop smoking, which also shows that 10% from the placebo group stopped  
130 smoking after 12 months. Behavioural support personal or by telephone to people using  
131 pharmacotherapy to stop smoking has a small but important effect [17], however, this study does  
132 not take into account differences in smokers with COPD and without COPD. Smoking cessation  
133 advice turns out to be most effective combined with NRT treatment in COPD patients [18], but the  
134 studies so far have not used varenicline.

135 A Cochrane review from 2016 (16 studies, involving 13,123 participants) shows among other things:  
136 from two studies, sublingual nicotine tablets and varenicline increased smoking cessation rates  
137 compared to placebo over twice as much, respectively. RR 2.60 (95% CI 1.29-5.24) and RR 3.34 (95%  
138 CI 1.88-5.92) [19]. Pooled results from two other studies also showed a positive effect of bupropion  
139 compared to placebo (RR 2.03 (95% CI 1.26-3.28)) [19]. By combining these four studies there was  
140 high quality evidence for the effect of pharmacotherapy plus high-intensity behavioural therapy  
141 compared to placebo plus high-intensity behaviour therapy (RR 2.53 (95% CI 1.83-3.50)) [19].

142 A meta-analysis from 2018 (comprising 61 RCTs) showed that during the 12-month attempt at  
143 smoking cessation, it was approx. 20% of participants in drug studies (varenicline, NRT or  
144 bupropion) who stopped smoking [20]. In comparison, 12% of the participants in the placebo  
145 groups abstained from smoking for 12 months [20]. This makes the net benefit of drug treatment  
146 8% after the first 12 months. Within one year, the benefits of using the mentioned drugs  
147 (varenicline, NRT or bupropion) fall from 17% after three months to 12% after six months to 8%  
148 after 12 months [20], so more and more people re-started smoking after some time despite having  
149 received smoking cessation drugs.

150 In a study from 2008, smoking cessation rates was examined in a group of patients with COPD who  
151 participated in a one year smoking cessation program (N = 247) and were compared with a group  
152 of COPD patients who received normal care (N = 231) with follow-up one year and three years  
153 after starting the program [21]. The smoking cessation program included a two-week period of  
154 admission to hospitals, group sessions where NRT and exercise were recommended/advised in,  
155 and in addition telephone calls with specially trained staff who gave feedback and support for  
156 smoking cessation throughout the year [21]. In the smoking cessation program, 52% of patients  
157 ceased smoking after one year and 38% after three years, correspondingly in the normal care  
158 group 7% after one year and 10% after three years [21]. However, the study is not in a controlled  
159 design, still the results are inspiring.

160 **Rationale for the study - scientific perspective:**

161 Although many different methods of smoking cessation and several years of preventive action  
162 have been tried, they have all had very little effect. And this despite the many known harmful  
163 effects of continued smoking. Thus, more effective interventions are needed for this patient  
164 group.

165 The hypothesis in the study described here is that a person-adapted, multi-component, intensive  
166 smoking cessation intervention, results in a higher incidence of anamnestic and biochemical  
167 smoking cessation after 12 months in people diagnosed with COPD and as the last 8 weeks before  
168 inclusion in the study, are current daily smokers than a standard smoking cessation intervention  
169 with municipal smoking cessation offers and offers of varenicline.

170 A few sub-hypotheses will also be examined:

171 1) Discontinuation of tobacco smoking leads to fewer depressive symptoms and anxiety  
172 symptoms, as well as lower consumption of antidepressant drugs.

173 Rationale for this hypothesis:

174 Anxiety and depression are common co-morbidities in COPD and have substantial effects  
175 on patients, their families, the community and the disease course [22]. The incidence of  
176 depression, in a longitudinal study (N = 71444) with a follow-up of 10 years, was 16.2 cases  
177 per 1000 person-years in the COPD group compared with 9.4 cases per 1000 person-years  
178 in the control group without COPD [23]. The same study also showed that patients with  
179 severe COPD were most at risk of depression (OR 2.01 (95% CI, 1.45-2.78)) [23].

180 Furthermore, COPD is associated with a higher risk of developing anxiety [24]. In a review,  
181 20 quantitative studies showed that anxiety and depression led to a marked increase in the  
182 probability that COPD patients were admitted to the hospital [25]. These co-morbidities  
183 also led to an increased hospitalization time and a greater risk of mortality after discharge  
184 [25]. In addition, depression and anxiety symptoms have detrimental effects on physical  
185 function and social interaction in COPD patients. [26]. In a meta-analysis from 2014, 26  
186 studies taken together showed that smoking cessation led to: reduced stress, depression,  
187 anxiety, improved mood and quality of life [27]. There was as much effect as with medical  
188 antidepressant treatment and just as good effect in people with known mental illness [27].  
189 By reducing depression and anxiety symptoms in COPD patients, the hospitalization time  
190 and number of hospitalizations may be reduced and possibly increase the quality of life of  
191 COPD patients. In addition, the consumption of antidepressant drugs can be reduced.

192

193 2) Significant changes in the respiratory microbiome in COPD patients will appear when they  
194 cease smoking, defined as at least one of the three most common microorganisms found in  
195 the respiratory tract of active smokers with COPD (after smoking cessation for a minimum  
196 of 6 months) no longer is among the three most common microorganisms in the respiratory  
197 tract.

198 Rationale for this hypothesis:

199 The lower respiratory tract microbiome environment was previously thought to be sterile  
200 unless pathogens invaded the area. However, next-generation sequencing (NGS) and  
201 culture techniques in studies in COPD patients showed that the microbial composition  
202 fluctuates with the severity of COPD, exacerbations of COPD, smoking, antibiotic  
203 consumption etc. [28, 29], however, there are limited data on the microbiome in the  
204 respiratory tract, especially for COPD. Although the social structure of the microbiome  
205 does not change with exacerbations of COPD, there are remarkable changes in its  
206 composition [29]. In addition, it has been observed that increasing the severity of COPD is  
207 associated with a reduction in microbial diversity [29, 30]. This pattern of reduced  
208 microbial diversity has also been observed in cystic fibrosis [30]. Understanding the effect  
209 of lung microbiome on COPD progression and the risk of exacerbation by cigarette smoke  
210 will lead to new and improved treatment and prevention of COPD progression and  
211 aggravation.

212 **Hypothesis**

213 A "high-intensity" smoking cessation intervention increases the likelihood that active smokers with  
214 COPD choose to stop smoking compared to a conventional "low-intensity" smoking cessation  
215 intervention.

216 Smoking cessation will reduce disease progression in COPD, and by that lead to a reduction in  
217 excess lung function decline and number of exacerbations together with an improvement in  
218 mental health/well-being/quality of life.

## 219 **Aims**

220 Smoking cessation in COPD patients improves survival [1] and reduces the number of  
221 exacerbations [2]. Unfortunately, relatively few people stop smoking even with pharmacological  
222 support [3].

223 Primary aim: to determine if a high-intensity intervention compared to a low-intensity  
224 intervention can increase the proportion of persistent (>12 months) anamnestic and biochemical  
225 smoking cessation in active smokers with COPD.

226 Secondary aim 1: In addition, to investigate, within the randomized study design, long-term  
227 follow-up over two years and five years, whether the occurrence of depressive symptoms and  
228 anxiety symptoms is different in the "high-intensity" and "low-intensity" groups. This is assessed  
229 by a. Depression questionnaires and b. Filling prescriptions of pharmaceuticals that is primarily  
230 prescribed for these conditions.

231 Secondary aim 2: to characterize changes in the respiratory microbiome between active smokers  
232 with COPD and COPD patients who have stopped smoking and see if the airway microbiome  
233 adapts/changes after smoking cessation.

## 234 Methods

### 235 Design

236 Study A) A randomized open-label, superiority, multicentre, 2-arm intervention study, in which it is  
237 examined if a "high-intensity" intervention causes fewer people (diagnosed with COPD) to smoke  
238 after 12 months than in a "low-intensity" intervention in people diagnosed with COPD. The effect  
239 on survival for 12, 24 and 48 months, incidence of COPD exacerbations, number of admissions for  
240 all causes and cardiovascular admissions will also be analysed at the same times.

241 Study B) Depression/anxiety within the framework of the randomized study ("high-intensity" vs.  
242 "low-intensity" group). Measured by means of questionnaires and by recording the prescription of  
243 drugs for depression and anxiety.

244 Study C) A microbiological study within the framework of the randomized study, which aims to  
245 investigate the respiratory microbiome and possibly changes in participants in the two randomized  
246 groups. Subgroup analyses for participants who actively smoke vs. has ceased and exploratory  
247 analyses to determine if the microbiome changes within 6 months after smoking cessation.

### 248 Recruitment and inclusion

249 Participants for the trial will be recruited from persons who have 1) COPD, 2) have not been  
250 admitted with COPD exacerbation in the last 24 months, and 3) are not managed at a respiratory  
251 outpatient clinic because of COPD disease. Recruitment takes place through advertisements and  
252 announcements in local newspapers, daily newspapers, via the Danish Lung Association and its  
253 member magazine, where the participants then per mail or telephone can contact trial staff (see  
254 under Data collection) and then receive the written participant information per mail or other ways

255 if the participant wants this. Later, an information meeting with trial staff can be agreed on one of  
256 the participating departments' cadastre, in a booked room that is approved for patient examination.  
257 The participant will be informed of the right to an assessor in the first contact, and the right will also  
258 be clarified in the written participant information. For the meeting, oral participant information will  
259 be provided by trial staff, and the aim is to ensure that trial staff do not have work during  
260 recruitment and if they have, the work telephone is handed over to other staff during the meeting.  
261 After the meeting, a 24-hour reflection period will be given, and informed consent will be obtained  
262 upon the signed consent declaration, provided that the participant will participate in the project.

263 Inclusion criteria:

- 264 • Age  $\geq$  50 years
- 265 • Competent and capable
- 266 • Have diagnosed COPD [*spirometry verified and evaluated by pulmonary specialist*]
- 267 • Current daily smoker [*Minimum 1 cigarette daily*]
- 268 • Have smoked minimum 20 pack years (1 pack year = 20 cigarettes daily in 1 year)
- 269 • Want to or try to stop smoking
- 270 • Do not mind taking varenicline or NRT during the trial
- 271 • Are willing to give blood and urine samples according to the protocol

272 Exclusion criteria:

- 273 • Previously included in the trial
- 274 • Hospitalized with COPD-exacerbation within the last 24 months
- 275 • Are associated with hospital outpatient clinic for COPD disease treatment
- 276 • Have FEV<sub>1</sub><50%.

- 277 • Pregnancy/breastfeeding
- 278 • Life expectancy less than 1 year
- 279 • Severe linguistic problems or inability to give informed consent
- 280 • Severe mental illness that is not controlled with medication
- 281 • Active alcohol or substance abuse
- 282 • Active cancer disease\*

283 \*The person can participate if he or she has had a cancer disease that is now referred to as  
284 curative/radically treated. Basal cell carcinoma of the skin does not count as an exclusion criterion.

## 285 [Assessments](#)

286 The following assessments will be carried out during the project, cf. Figure 1. Details of the low-  
287 and high-intensity interventions are described in the section “Interventions”.

288 Figure 1 (SPIRIT Figure): Overview of assessments that each participant will undergo and the time  
289 schedule for the project.

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Timepoint	STUDY PERIOD							
	Enrolment	Allocation	Post-allocation/Follow-up (months)					
	-24 hours	0	1	3	6	12	24	36
<b>ENROLMENT:</b>								
Informed consent	X							
Eligibility screening	X							
Allocation		X						
<b>INTERVENTION:</b>								
Low-intensity		←————→						
High-intensity		←————→						
<b>ASSESSMENTS:</b>								
*Pregnancy test (urine-hCG)		X						
Smoking history		X						
Urine sample (urine-cotinine)		X	X	X	X	X	X	X
Blood sample		X	X	X	X	X	X	X
COPD Assessment Test (CAT)		X	X	X	X	X	X	X
MRC-dyspnoea scale		X	X	X	X	X	X	X
Hospital Anxiety and Depression Scale (HADS)		X	X	X	X	X	X	X
Induced-sputum sample		X				X		
Weight measurement		X			X	X	X	X
Height measurement		X						
Lung function measurement (spirometry)		X		X	X	X	X	X
Hamilton Depression scale (HAM-D)		X				X	X	X

299 \*All women of fertile age

300 **Smoking history:** The age of smoking debut is obtained, the number of years smoked, the number  
301 of cigarettes/cigars/cheroots/grams of tobacco smoked, the number of smoking cessation  
302 attempts in total, if they have tried to stop smoking within the last year and motivation for  
303 smoking cessation.

304 **Blood tests:** Standard blood samples: electrolyte parameters (sodium, potassium), kidney  
305 parameters (creatinine, carbamide), liver parameters (albumin, bilirubin, ALAT, alkaline  
306 phosphatase, INR), CRP, glucose, HbA1c, hematologic differential blood cell count, haemoglobin  
307 and iron content.

308 **Questionnaires:** Standardized questionnaires are used. CAT and MRC dyspnoea scale are short  
309 and simple tests that provide an understanding of the severity and impact of COPD on the  
310 participant's daily life. HADS is used to screen for anxiety and depression, and HAM-D is used to  
311 suggest a risk of developing depression.

312

### 313 [Data collection](#)

314 The primary daily project management is handled by the project manager. In addition, a project  
315 group (investigators), consisting of health professionals from the departments involved, is trained  
316 to assist the project manager with recruitment, sampling and follow-up of participants.

317 Recruitment in general practice is done by the project-trained nurse.

318

319 Upon entering the program and all visits in the future (after 1, 3, 6, 12, 24 and 36 months), the  
320 participant is summoned to a conversation with staff, asking if the participant smokes (yes vs. no),  
321 and if yes, how much. In addition, a urine sample is taken. cotinine analysis for biochemical  
322 verification of smoking status and standard blood samples to examine health status at these visits

323 (analysed on Department of Clinical Biochemistry, KBA). In addition, questionnaires (CAT, MRC and  
324 HADS) are completed with the participant.

325 In the case of inclusion and follow-up visits after 3, 6, 12, 24 and 36 months, lung function  
326 measurement is also carried out at regular intervals by spirometry. Smoking anamnesis and height  
327 measurement are done by inclusion in the study along with weight measurement, which is the  
328 only further measured after 6, 12, 24 and 36 months to monitor BMI.

329 The HAM-D score is measured at inclusion, and again after 12, 24 and 36 months and if the score  
330 becomes high ( $\geq 25$ ), i.e. that there is a high risk of developing depression, the participant will be  
331 advised to see their GP for further evaluation.

332 In addition, sputum samples are induced for microbiome analysis the time of inclusion into the  
333 study, and again after 12 months in the first 50 participants in the "high-intensity" group who quit  
334 smoking, and in the first 50 participants in the "low-intensity" group, who do not quit smoking.

335

336 Survival and death (including the cause of death) are obtained from the Cause of Death Register  
337 (DAR). Admissions, hospitalization and the participant's diagnoses are retrieved from their records.

338 Comorbidities and prescribed therapy and filled prescriptions are recorded and by inclusion and

339 on an ongoing basis during study visits. During the trial, the following are also recorded: side

340 effects and analyses from the paraclinical tests. At the same time, it is also stated that varenicline

341 does not cause critical interactions with other drugs (see [www.interaktionsdatabasen.dk](http://www.interaktionsdatabasen.dk) and

342 product summary).

343

344 Collected data will be treated confidentially by the staff associated with the project. Data will be

345 reported in Electronic Case Report Forms (eCRF) specific to each participant, which are encrypted

346 and stored in servers protected by Danish data security authorities with double login to REDCap  
347 etc. In addition to the above information, the following data are recorded by inclusion: demo-  
348 graphic data, health status, current and previous drug consumption, current and past diseases.  
349 Physical copies of CRF are kept in locked archives for the departments involved for 15 years.

## 350 Interventions

351 A total of 600 participants will be included with start-up 1<sup>st</sup> January 2020 and is expected to be  
352 completed 3 years later, January 2023. Participants are randomized (random allocation) 1:1 to a  
353 “low-intensity group” and a “high-intensity group”. Stratified for age (>65 years vs. ≤65 years) and  
354 number of daily cigarettes (>10/day vs. ≤10/day). Blocked randomization through REDCap with  
355 blocks of varying sizes (4-8), which will not be disclosed. Participants, their data and laboratory  
356 specimens etc. will be assigned a coded identification number, ID, to maintain participant  
357 confidentiality.

358 Participants will be randomized to one of the following two treatment groups:

359 a) “Low-intensity group”: encouraged to quit smoking via own GP and varenicline is  
360 prescribed for 12 weeks.

361 b) “High-intensity group”:

362 a. Varenicline for 12 weeks

363 b. Group-sessions – in all 30 sessions divided into 6 months

364 i. Preparation phase\*: 5 sessions

365 ii. Day 1-14: 5 sessions

366 iii. Day 15-30: 5 sessions

367 iv. Day 31-60: 5 sessions

368 v. Day 61-90: 5 sessions

369 vi. Day 90-180: 5 sessions

370 \*During the preparation phase, participants are allowed to smoke, smoking  
371 cessation starts at Day 1.

372 Group sessions are controlled by:

373 I. Respiratory nurse [Mapping of different smoking patterns and different  
374 reasons for smoking. When is smoking the greatest? When in the process,  
375 smoking starts to fall. Dangerous situations regarding smoking relapses.  
376 Working closely with psychologist - see below. Initial focus on nicotine-  
377 craving and coping methods]

378 II. Respiratory physician [Lung function, Lung age, Anatomy, Physiology,  
379 Pathophysiology of lung cancer and COPD]. Focus on why smoking cessation  
380 is good. Is there anything you want to experience in your life that smoking  
381 can prevent? Either by death or because illness would prevent it?

382 III. Psychologist [In close cooperation with nurse. Focus on behaviour before  
383 smoking and how this behaviour is slowed down at an early stage. Coping by  
384 smoking. Cognitive smoking cessation strategies. Handle digito-oral habit].

385 IV. Physiotherapist [Training on how to improve general physical performance  
386 status based on individual training programs].

387 V. Dietician (focus on keeping weight (both ways), nutrition). Suggestions for  
388 what to eat at episodes of smoking craving.

389 c. Relationships and retention via these:

390 i. Hotline and scheduled phone consultations:

391 1. A hotline is established which the "high-intensity group" can call.

392 2. Weekly calls to all participants in the project for 26 weeks. Call for 5-10  
393 min. If the participant has not had relapse, there will be called week 34  
394 and week 42. If the participant has had relapse, calls continue until  
395 relapse-free for 10 weeks, then week 34 and week 42.

396 d. "Buddy-arrangement":

397 i. Participants who have completed the program and have become smoke-  
398 free, are matched with new ones in the program. A meeting frequency of  
399 approx. every 7-14 days. The first participants are matched with patients  
400 from respiratory medical outpatient clinic (COPD clinic) who have ceased  
401 smoking.

#### 402 Extraction of biological material

403 Blood samples are taken by venepuncture, and throughout the study period of up to 36 months,  
404 the blood withdrawn will be up to 120 ml of blood (a maximum of 18 ml per visit).

405 Collection of induced sputum will be done by mask inhalation with hypertonic saline solution[31].

406 Participants are thoroughly instructed by a respiratory nurse to expectorate into a container.

407 Samples are frozen in -80°C freezer within 24 hours.

#### 408 Research biobank

409 A research biobank is created for storing blood samples, urine samples and sputum samples. In  
410 total, up to 120 mL of blood will be taken from each participant from inclusion to 36 months of  
411 follow-up. All samples are stored in a freezer in a locked room for analysis before the project ends.

412 Microbiome analyses are performed on the sputum samples at Statens Serum Institut or other  
413 laboratory that has recognized expertise in microbiome analysis. All samples are kept in

414 pseudoanonymised form for 15 years, where they are analysed and will be done in the years after  
415 recruitment is completed. This research biobank will be used to answer the hypotheses described  
416 in this protocol, including sub-studies. The research biobank ends at the end of the recruitment  
417 process, and all surplus material is transferred to a biobank for future research (see below). The  
418 analyses consist of standard blood sample analyses to check health status: electrolyte parameters  
419 (sodium, potassium), kidney parameters (creatinine, carbamide), liver parameters (albumin,  
420 bilirubin, ALAT, alkaline phosphatase, INR), CRP, glucose, HbA1c, hematologic differential blood cell  
421 count, haemoglobin and iron content. Additionally, at inclusion, cotinine is analysed in the blood  
422 for immediate analysis, and all excess material is transferred to the research biobank. The urine  
423 sample is analysed for cotinine content for biochemical smoking status, and the sputum samples  
424 are examined for the microorganism composition (microbiome analysis).

#### 425 **Biobank for future research**

426 Permission to create a new biobank for future research with the excess material will be sought  
427 from The Danish Data Protection Agency. Following the end of the project and the research  
428 biobank, the excess material will be transferred to this new biobank for future research within the  
429 COPD disease. The samples (the excess material from the research biobank) will be locked away  
430 and stored pseudonymized for 15 years in accordance with current legislation incl. data protection  
431 laws. Informed consent to participation in the project permits biological material to be stored in  
432 the research biobank and the excess material in the biobank for future research.

433 **Risks, adverse effects and events**

434 When taking blood samples, transient discoloration of the puncture site is observed frequently (in  
435 5-15%) due to a minor blood accumulation under the skin (bruising). In addition, there is a risk of  
436 slight pain during insertion of needle and minimal risk of infection.

437 There are no known risks and side effects associated with urine and sputum collection.

438 It is a general rule that all effective medications can also have side effects. For varenicline, mild  
439 nausea and vivid dreams are the most common side effects. According to pro.medicin.dk there  
440 are:

441 **Very common (> 10%):** Nausea, Nasopharyngitis, Abnormal dreams, Headache and Insomnia.

442 **Common (1-10%):** Decreased appetite, fatigue, weight gain, increased appetite, abdominal pain,  
443 diarrhoea, dyspepsia, flatulence, gastroesophageal reflux disease, Hepatic effects, Meteorism, dry  
444 mouth, constipation, vomiting, abnormal taste, tooth pain, dyspnoea, sinusitis, arthralgia, myalgia,  
445 back pain, somnolence, dizziness, Skin itching, skin rash and upper respiratory tract infection.

446 **Uncommon (0,1-1%):** Haematochezia, Stomatitis, Angina pectoris, Dysphonia, Hypertension,  
447 Airway Inflammation, Airway obstruction, myocardial infarction, Hyperglycaemia, Aggression,  
448 Anxiety, Depression, hallucinations, mood disturbances, Hypoesthesia, Convulsion, Lethargy,  
449 suicidal ideation, thought disorders, tremor, erythema, fungal infections, viral infections  
450 Conjunctivitis, Tinnitus and eye pain.

451 **Rare (0,01-0,1%):** Cysts, Hematemesis, Atrial fibrillation, Cerebrovascular events, ECG changes,  
452 Thrombocytopenia, Diabetes mellitus, Glucosuria, Hypocalcaemia, Costochondritis, Joint stiffness,  
453 Behavioural disturbance, Bradyphrenia, Coordination disorders, Psychosis, Speech Symptoms,

454 Increased Muscle Tone, Severe skin reactions (including erythema multiforme and Stevens-  
455 Johnson syndrome), Angioedema, Abnormal sperm test, Sexual disturbances, Myopia and visual  
456 disturbances.

457 It should be said that smoking cessation itself can give rise to several symptoms, and it may be  
458 difficult to distinguish between these symptoms and the side effects of the treatment. The  
459 Summary of Product Characteristics of varenicline is used as a reference document when assessing  
460 whether a serious adverse reaction (SAR) is expected/unexpected and thus may be a suspected  
461 unexpected serious adverse reaction (SUSAR).

462 Investigator must report all serious incidents / side effects (SAR) to the sponsor as soon as  
463 possible. Thus, the sponsor can immediately report on to the Danish Medicines Agency and the  
464 Danish Committee on Health Research Ethics if it is deemed to be a SUSAR. In case of fatal or life-  
465 threatening side effect, this must be recorded and reported to the National Board of Health within  
466 7 days of the sponsor being aware of such a suspected adverse reaction. Within 8 days of the  
467 report, the sponsor must notify the National Board of Health of all relevant information about the  
468 sponsor's and investigator's follow-up to the report. All other SUSARs must be reported to the  
469 Danish Medicines Agency no later than 15 days after the sponsor has gained knowledge of these.  
470 At the same time, test managers are informed at the other centres.

471 An annual list of all SUSARs that have occurred during the trial period is prepared and a report on  
472 the safety of the participants is submitted to the National Board of Health. In addition, all adverse  
473 reactions and events are reported at the end of the trial in the final report to the National Board of  
474 Health.

475 **Outcomes**476 **Primary end-point:**

- 477
- Anamnestic and biochemical\* validated smoking cessation after 12 months

478 \*Cotinine is analysed in a urine sample, as validated point-prevalence for the last 7 days

479 **Secondary end-points:**

- 480 • Number of admissions for exacerbations of COPD or death within 12 months
- 481 • Number of admissions for all causes or death within 12 months
- 482 • Number of cardiovascular events<sup>1</sup> within 12 months
- 483 • Changes in CAT-score over 12 months
- 484 • Changes in FEV<sub>1</sub> from baseline over 12 months
- 485 • Changes in BMI<sup>2</sup> over 12 months
- 486 • Clinically relevant changes in HADS-score over 12 months
- 487 • Occurrence of DNA from the following: M. catarrhalis, H. influenzae and P. aeruginosa over
- 488 12 months<sup>3</sup>
- 489 • Changes in the total lung microbiome over 12 months<sup>3</sup>
- 490 • Occurrence of smoking-related cancer<sup>4</sup> within 12 months
- 491 • Number of admissions requiring NIV treatment or admissions to intensive care or death
- 492 within 12 months
- 493 • Changes in status from MRC-dyspnoea score from < 3 to 3 ≥, over 12 months

494 <sup>1</sup>Defined as cardiovascular death, acute myocardial infarction or unstable angina pectoris [32].495 <sup>2</sup>BMI loss more than 1 unit.

496 <sup>3</sup>These end-points are only examined on the first 50 who stop smoking from the "high-intensity"  
497 group against the first 50 who do not stop smoking from the "low-intensity" group.

498 <sup>4</sup>Lung cancer, urothelial cancer, pancreatic cancer, oesophageal cancer, pharyngeal cancer,  
499 laryngeal cancer, tongue cancer, oral cancer, tonsil cancer.

#### 500 Long-term (follow-up) end-points:

- 501 • Occurrence of depression within 36 months.
  - 502 1. Admission to psychiatry with depression as primary diagnosis
  - 503 2. New start of antidepressant treatment after baseline
  - 504 3. Clinically relevant changes in HADS score over 36 months
  - 505 4. Changes in status from HAM-D score from baseline over 36 months
- 506 • Number of days during antidepressant treatment over 36 months
- 507 • Changes in FEV<sub>1</sub> over 36 months

#### 508 Sample-size (statistical power calculation)

509 Type 1 error limit ( $\alpha$ ) of 5%. Power ( $1-\beta$ ) of 90%.

510 The maximum smoking cessation rate for the "low-intensity group" is expected to be 10% in 12  
511 months.

512 With the above-described person-adapted, multi-component, intensive smoking cessation  
513 intervention in the "high-intensity group",  $\geq 20\%$  of participants are expected to cease tobacco  
514 smoking for at least 12 months.

515 Thus, a 10% absolute improvement in likelihood of smoking cessation is expected, in this case  
516 equivalent to the relative risk of smoking cessation on 2.0.

517 This gives a sample size of 532 (266 + 266) persons. To ensure greater impact and statistical  
518 security 600 participants will be recruited for the project by the likelihood of trial participants  
519 withdrawing from the project.

## 520 Analyses

521 We will use Fisher's exact test and chi-squared test for dichotomous outcomes and T-test for  
522 continuous outcomes. The timed dichotomous outcomes will be visualized through Kaplan-Meier  
523 plots. Furthermore, adjusted analyses will be performed with multivariable Cox proportional  
524 hazards model, adjusting for baseline variables and calculating hazard ratio.

## 525 Discussion

### 526 Scientific ethics statement

527 The study is performed according to the Helsinki Declaration and is carried out in accordance with  
528 the rules in the Personal Data Act and the Health Act. Approval will be sought from the Danish  
529 Data Protection Agency. Recruitment and inclusion will take place as previously described.  
530 Participation requires a signed informed consent statement. Participants can withdraw their  
531 consent at any time and withdraw from the research project without affecting their right to  
532 current or future treatment. Participants who do not wish to participate in the trial will be offered  
533 treatment according to current guidelines. The participant also has the right to bring an advisor to  
534 the information conversation and is entitled to a reflection period before any consent form is  
535 signed. If, during the experiment, significant information emerges about the individual's state of  
536 health, this will be disclosed both in writing and orally to the participant, unless he or she has  
537 refused to do so in advance in the signed consent statement.

538 Patients with COPD fear acute exacerbations as it increases dyspnoea, cough, sputum and is  
539 associated with significant morbidity and mortality. Smoking cessation is the best intervention for  
540 exacerbations and progression of COPD in active smokers with COPD. The potential benefit of this  
541 project is to prevent smoking-related exacerbations of COPD and thereby reduce logistics and  
542 costs of hospitalization and treatment of COPD. In addition, the project can potentially benefit  
543 from increasing the quality of life and longevity of COPD patients and reducing the risk of  
544 developing lung cancer and other smoking-related diseases. Possibly, the project may also cause  
545 "healthy" smokers to stop smoking. Based on this, we believe that the experiment is scientifically  
546 sound and that the trial participants will not be exposed to irresponsible risks.

#### 547 [Information from patient journals](#)

548 Information from the trial participants' journals are obtained under the study to obtain study-  
549 relevant information (information to be used for the study-specific Case Report Form or  
550 corresponding to data to be obtained for subsequent approved additional protocols). If informed  
551 consent is obtained from the trial participants, it is permissible for the investigators to obtain this  
552 information from the patient record. Study-relevant information includes data on COPD severity,  
553 risk profile, disease development and relevant co-morbidity to answer the hypothesis described. If  
554 informed consent is obtained from the trial participants, it is permissible for the investigators to  
555 obtain this information from the patient record. The informed consent also gives investigators,  
556 COP:TRIN, their representatives and eventual monitoring authority direct access to obtain  
557 information in the participant's journal etc., including electronic journal, in order to see  
558 information about the participant's health conditions, which are necessary in order to complete  
559 the research project and in monitoring purposes, including self-monitoring, quality monitoring, as

560 these are required to be performed. No information from patient records is obtained until  
561 informed consent has been obtained from participants.

## 562 [Economy](#)

563 The initiative for the trial was taken by the steering committee of the COP: TRIN (Chronic  
564 Obstructive Pulmonary Disease: Trial Network) and Pulmonary Medicine Department, Gentofte  
565 Hospital, Herlev Hospital, Hvidovre Hospital, Bispebjerg Hospital, Nordsjælland Hospital and  
566 Aalborg Hospital. Funding has been sought from foundations (so far: TrygFonden, Lundbeck  
567 Foundation, Novo Nordisk Foundation and RegionH Research Fund) to the persons responsible for  
568 the project (researchers and supervisors), salary to auxiliary staff, payment of laboratory analyses,  
569 equipment, medications and potential hospitalizations. As funding is obtained, the Danish  
570 Committee on Health Research Ethics and the trial participants will be informed about who the  
571 donors are, how much an amount they each contribute with how the support forms part of the  
572 project, for example in the form of salary to personnel or analyses etc. In addition, it is also stated  
573 whether the support is paid directly to the investigators, their department/institute or other, and  
574 whether the investigators are financially connected to the donors.

575 The investigators are not financially linked to private companies, foundations etc. with interests in  
576 the research project in question.

## 577 [Remuneration/Services](#)

578 No remuneration is paid to the study participants.

## 579 [Publication of results](#)

580 The trial has been registered at ClinicalTrials.gov (NCT04088942). The results from the project will  
581 be published in a peer-reviewed journal regardless of whether they are positive, negative or

582 inconsistent with authorship according to the Vancouver recommendations. If publication in a  
583 scientific journal is not possible, the results of the trial will be published in report format, which  
584 will be made available via the Internet.

#### 585 Exclusion from or interruption of trial

586 If the physician responsible for the study considers it necessary, the person may at any time  
587 terminate the study if there is a medical justification (e.g. development of allergy to medication), a  
588 safety risk, or other circumstances. However, this must be done in agreement with the  
589 coordinating investigator. The participant may at any time, as mentioned in the above paragraph,  
590 withdraw their informed consent and withdraw from the investigation. It will not have any  
591 consequences for the further treatment.

#### 592 Information about compensation or reimbursement schemes

593 The trial is covered by the patient compensation scheme if, contrary to expectation, damages  
594 occur during the trial and by inclusion.

#### 595 Trial status

596 This protocol is version 8 from 18<sup>th</sup> June 2019. Recruitment of participants is anticipated to begin  
597 1<sup>st</sup> January 2020 and planned to end by 1<sup>st</sup> January 2023.

#### 598 Declarations

##### 599 Ethics approval and consent to participate

600 The study will be carried out to include the protection of human participants according to the  
601 Helsinki Declaration and in accordance with Good Clinical Practice Guidelines. Participants will be  
602 informed about the study on inclusion day and will be included if accepting to participate. A

603 completed patient informed consent form is required from all participants in the study and must  
604 be signed by the participant and the informing personnel. The study has been approved by the  
605 Danish Committee on Health Research Ethics (H-19013085).

#### 606 [Consent for publication:](#)

607 Not applicable.

#### 608 [Availability of data and materials](#)

609 Not applicable. The data from the TOB-STOP-COP study will be available once the study is  
610 completed. Applications for data require a formal application and will be decided upon by the  
611 board of the TOB-STOP-COP study group.

#### 612 [Competing interests](#)

613 The authors declare that they have no competing interests.

#### 614 [Funding](#)

615 Funding has been sought from TrygFonden, Lundbeck Foundation, Novo Nordisk Foundation and  
616 RegionH Research Foundation.

#### 617 [Authors' contributions](#)

618 JUJ conceived of the study. All authors and Jørgen Vestbo contributed to the study design,  
619 intervention methods and statistical endpoints. MIS wrote the protocol and translated it to  
620 English. MIS applied for approval at the Danish Committee on Health Research Ethics, and MIS and  
621 JUJ applied for grants from TrygFonden, Lundbeck Foundation, Novo Nordisk Foundation and  
622 RegionH Research Fund. All authors contributed to refinement of the final study protocol.

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624 Not applicable.

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695

696 **Appendices:**697 **1. Informed consent form:**698 **Informed consent for participation in a health science research project.**699 Title of the research project: **TOB-STOP-COP: TOBacco STOP in COPd Trial**700 **"Clinical trial on the effect of a" high-intensity "smoking cessation intervention in active smokers with**  
701 **COPD rather than a" low-intensity "smoking cessation intervention".**

702

703 **Statement from the participant:**704 I have been given written and oral information and I know enough about purpose, method, benefits and  
705 disadvantages to say yes to attend.706 I know that participating is voluntary and that I can always withdraw my consent without losing my current  
707 or future rights to treatment.

708

709 I agree to participate in the research project and to have my biological material extracted for the purpose  
710 of storage in a research biobank and the excess in a biobank for future research. I have received a copy of  
711 this consent sheet as well as a copy of the written information about the project for my own use.

712

713 Name of participant: \_\_\_\_\_

714 Date: \_\_\_\_\_ Signature: \_\_\_\_\_

715

716 If any new essential health information about you appears in the research project you will be informed. If  
717 you will **decline** information about new significant health information that will appear in the research  
718 project, please mark here: \_\_\_\_\_ (set x)719 Do you want to be informed about the results of the research project and any eventual consequences for  
720 you?

721 Yes \_\_\_\_\_ (set x) No \_\_\_\_\_ (set x)

722

723 **Declaration by the provider of information:**

724 I declare that the participant has received oral and written information about the trial.

725 In my opinion, enough information has been provided to enable participation in the trial.

726 Name of the provider of information: \_\_\_\_\_

727 Date: \_\_\_\_\_ Signature: \_\_\_\_\_